

Appendix 1 - Audit Plan Monitoring 2018-19
Progress as at July 2018

	Directorate	Plan Days	Status	Fieldwork started	Report issued to Management	Finalised	Opinion: Level of Assurance	Priority 1 Recs	Priority 2 Recs	Priority 3 Recs
Quarter 1										
Debtors	Resources	12	Draft Report	■	■					
Governance - St. John's Road	Neighbourhoods	8	In Progress	■						
Off Street Parking	Neighbourhoods	12	In Progress	■						
North Weald Airfield	Neighbourhoods	16	Scoping							
Joint Working -Museum Services	Communities	12	Scoping							
IT Software Licensing	Resources	10	Scoping							
Quarter 2										
Procurement - Contract Register and GDPR	Resources	15	Scoping							
Equality Impact Assessments	Corporate	12	Scoping							
Right to Buy	Communities	10								
Business Rates - Council wide approach	Resources	15								
Creditors	Resources	12								
Performance Management - Stronger Council	Corporate	20								
Quarter 3										
Economic Developemnt	Neighbourhoods	14								
Access to Housing	Communities	20								
Safeguarding	Communities	12								
Business Rates / Council Tax	Resources	18								
IT Systems Access and Mobile Working	Resources	10								
Code of Conduct - Gifts and Hospitality	Corporate	10								
Quarter 4										
Health & Safety - Corporate	Neighbourhoods	12								
Income - commercial rents	Neighbourhoods	15								
Emergency planning	Neighbourhoods	12								
Project - council housebuilding	Communities	10								
Planning Applications	Governance	10								
S106 agreements	Governance	10								

HR - starters, leavers and movers	Resources										
-----------------------------------	-----------	--	--	--	--	--	--	--	--	--	--

TofR = Terms of Reference